

Simulation Study of Anti-Resonant Hollow Core Fibers for Beam-Driven THz-Applications



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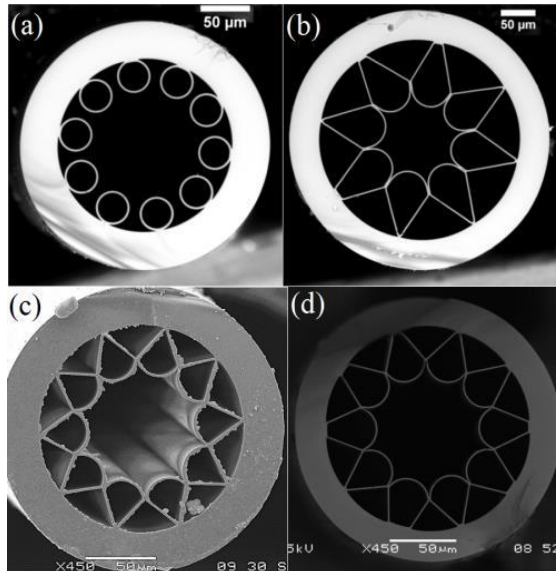
DESY-TEMF Workshop

December 11, 2025, DESY, Hamburg

Outline

- Introduction & Motivation
- The waveguide mode problem
- The beam impedance problem
- ARF simulations
- Conclusions & Outlook

- Fundamentals of ARFs



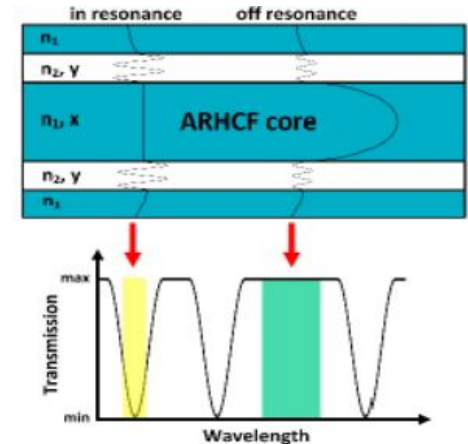
P. Jaworski, *Sensors*, 2021

- Hollow-core dielectric waveguides consisting of an outer cladding and various configurations of capillaries
- Strong field concentration in the core → low-losses in the cladding → low light attenuation
- Thin wall of capillary tubes acts as a Fabry-Perot resonator with:

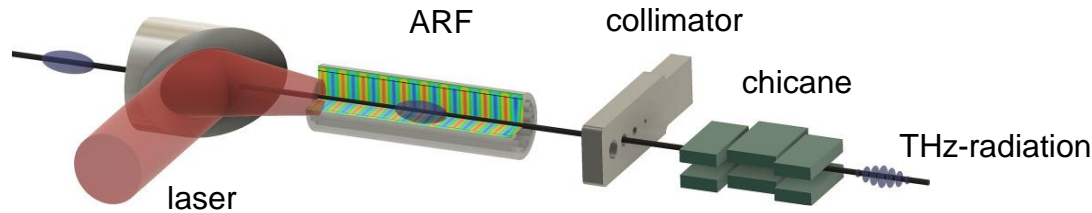
$$\lambda_{res} = \frac{2t}{m} \sqrt{n_c^2 - 1}$$

$$\lambda_{antires} = \frac{4t}{2m + 1} \sqrt{n_c^2 - 1}$$

t – capillary thickness
 n_c – refractive index



- Application of ARFs as beam-driven THz-source

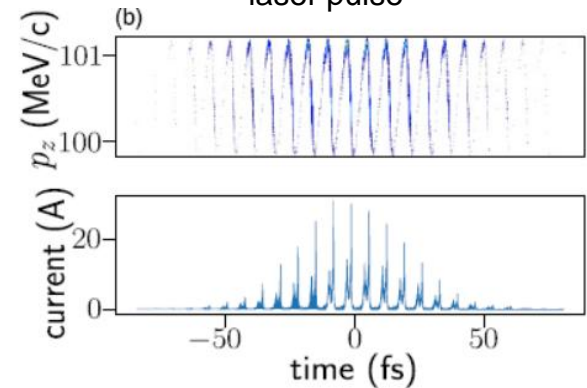


L. Genovese, et al., Phys. Rev. Res., 2023

Goals of this study:

- Simulation method/tool for 2D dielectric, waveguide structures
- Investigate of the beam impedance in ARFs
- Incorporation of the impedance in beam dynamics simulations
- Optimization of the structure as a (passive or laser-driven) THz-source

Laser assisted density/energy modulation of a 100MeV electron bunch with a 2ps laser pulse



- $\mathbf{E}_t - E_z$ - formulation for hybrid modes

$$\nabla \times \mu_r^{-1} \nabla \times \mathbf{E} - k_0^2 \epsilon_r \mathbf{E} = 0$$

Transverse field equation:

$$\nabla_t \times \mu_r^{-1} \nabla_t \times \mathbf{E}_t + jk_z \mu_r^{-1} \nabla_t E_z + k_z^2 \mu_r^{-1} \mathbf{E}_t = k_0^2 \epsilon_r \mathbf{E}_t$$

Longitudinal field equation: $-\nabla_t \mu_r^{-1} \nabla_t E_z + jk_z \nabla_t \cdot \mu_r^{-1} \mathbf{E}_t = k_0^2 \epsilon_r E_z$

Generalized eigenvalue problem:

$$\begin{pmatrix} -\nabla_t \times \frac{1}{\mu_r} \nabla_t \times + k_0^2 \epsilon_r & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{E}'_t \\ E'_z \end{pmatrix} = k_z^2 \begin{pmatrix} \frac{1}{\mu_r} & -\frac{1}{\mu_r} \nabla_t \\ \nabla_t \frac{1}{\mu_r} \cdot & -\nabla_t \frac{1}{\mu_r} \nabla_t - k_0^2 \epsilon_r \end{pmatrix} \begin{pmatrix} \mathbf{E}'_t \\ E'_z \end{pmatrix} \quad (\mathbf{E}_t = \frac{\mathbf{E}'_t}{k_z}, E_z = jE'_z)$$

$$\underbrace{\mathbf{E} = \mathbf{E}_t + \hat{\mathbf{z}}E_z, \quad \nabla = \nabla_t + \hat{\mathbf{z}}jk_z}_{\text{transverse / longitudinal splitting}}$$

- Finite element formulation

For each k_0^2 , find $k_z^2 \in \mathbb{C}$ and $(\mathbf{e}_t, e_z) \in \mathbf{H}(\text{curl}, \Sigma) \times H^1(\Sigma)$ such that:

$$k_z^2 \{(\mu_r^{-1} \mathbf{e}_t, \boldsymbol{\psi}_t) - (\mu_r^{-1} \nabla_t e_z, \boldsymbol{\psi}_t)\} = -(\mu_r^{-1} \nabla_t \times \mathbf{e}_t, \nabla_t \times \boldsymbol{\psi}_t) + k_0^2 (\varepsilon_r \mathbf{e}_t, \boldsymbol{\psi}_t) \quad \forall \boldsymbol{\psi}_t \in \mathbf{H}(\text{curl}, \Sigma)$$

$$k_z^2 \{(\mu_r^{-1} \nabla_t e_z, \nabla_t \phi) - k_0^2 (\varepsilon_r e_z, \phi) - (\mu_r^{-1} \nabla_t \phi, \mathbf{e}_t)\} = 0 \quad \forall \phi \in H^1(\Sigma)$$

with boundary conditions:

$$\text{PEC: } \begin{cases} \mathbf{n}_t \times \mathbf{e}_t = \mathbf{n}_t \times \boldsymbol{\psi}_t = 0 \\ e_z = \phi = 0 \end{cases} \quad \text{on } \partial \Sigma$$

$$\text{PMC: } \begin{cases} \mathbf{n}_t \cdot (\nabla_t e_z + \mathbf{e}_t) = \dots = 0 \\ \hat{\mathbf{z}} \cdot (\nabla_t \times \mathbf{e}_t) = \dots = 0 \end{cases} \quad \text{on } \partial \Sigma$$

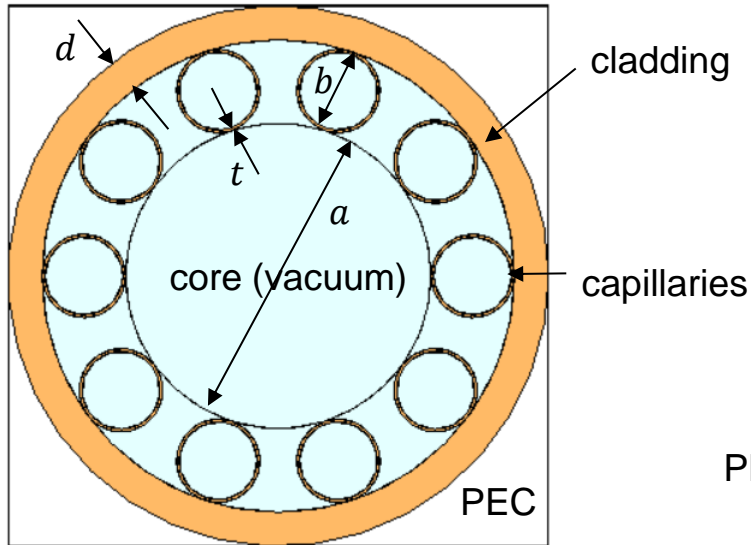
resulting in an algebraic eigenvalue problem

$$\begin{pmatrix} A_{11} & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{e}_t \\ e_z \end{pmatrix} = k_z^2 \begin{pmatrix} B_{11} & B_{12} \\ B_{12}^T & B_{22} \end{pmatrix} \begin{pmatrix} \mathbf{e}_t \\ e_z \end{pmatrix}$$

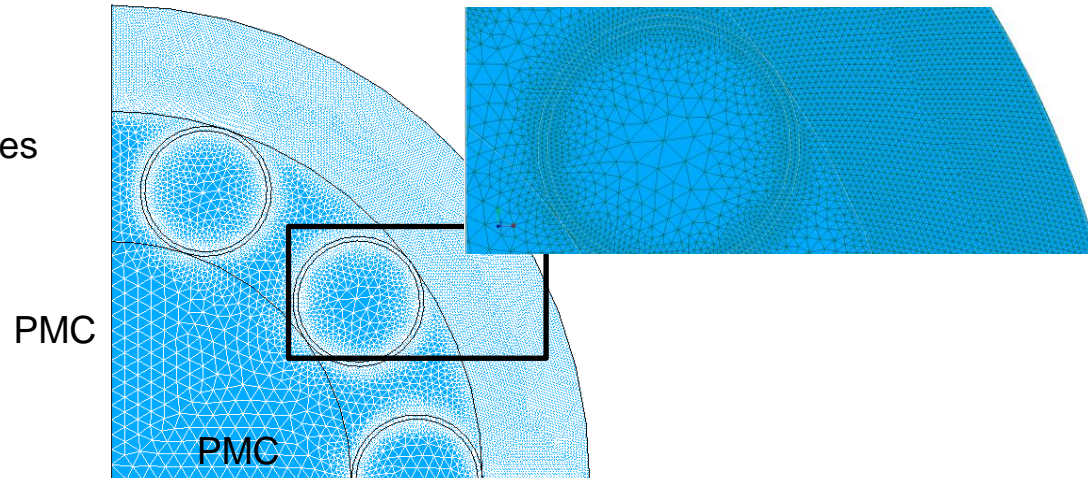
J.-F. Lee, et al., IEEE Trans. MTT, 1991

Waveguide modes

- ARF geometry & mesh



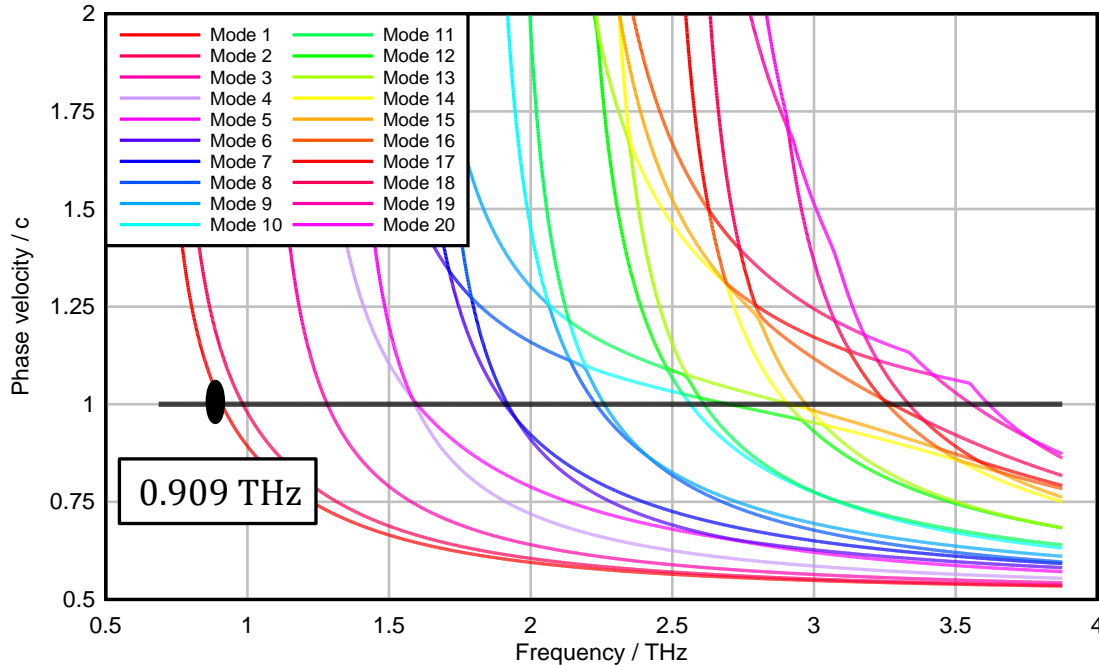
| $a/\mu\text{m}$ | $b/\mu\text{m}$ | $d/\mu\text{m}$ | $t/\mu\text{m}$ | ϵ_r |
|-----------------|-----------------|-----------------|-----------------|--------------|
| 157 | 40 | 35 | 1.5 | 3.8 |



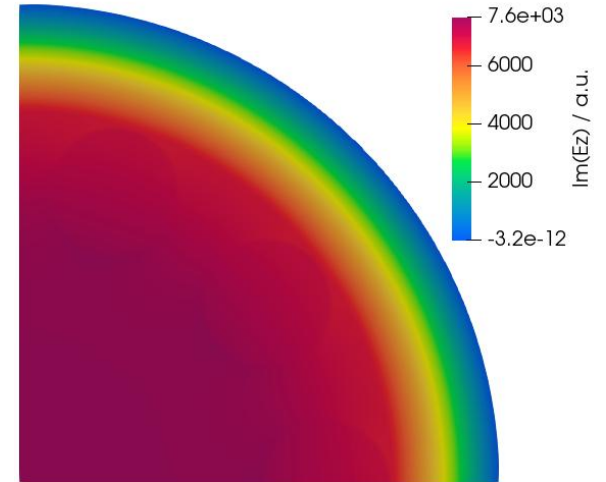
L. Genovese, et al., Phys. Rev. Res., 2023

Waveguide modes

- Dispersion curves

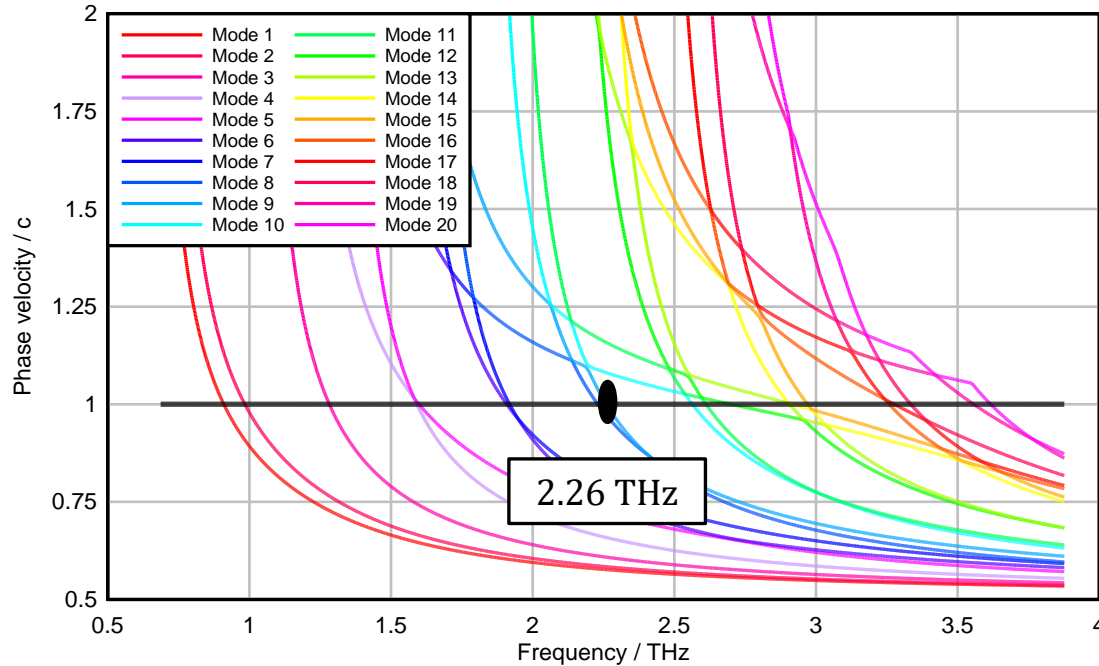


Mode #1 @0.909 THz

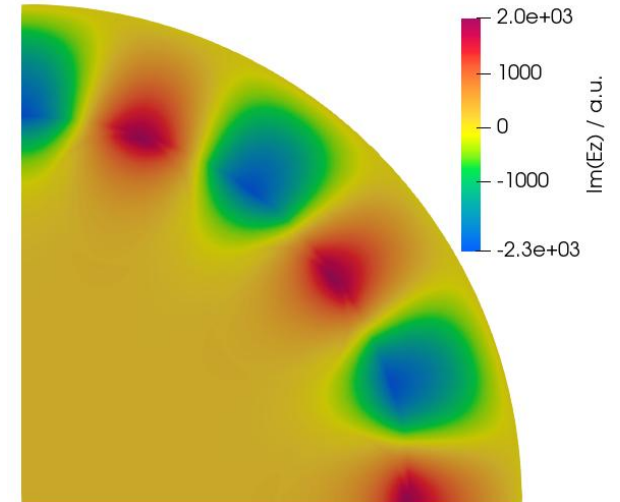


Waveguide modes

- Dispersion curves

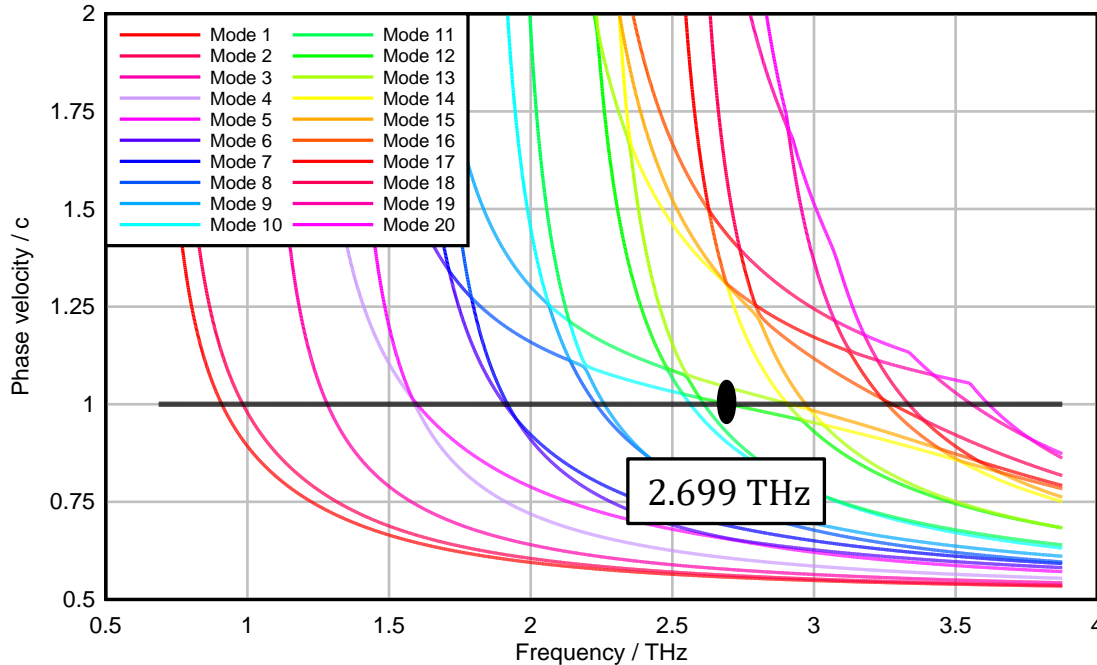


Mode #9 @2.26 THz

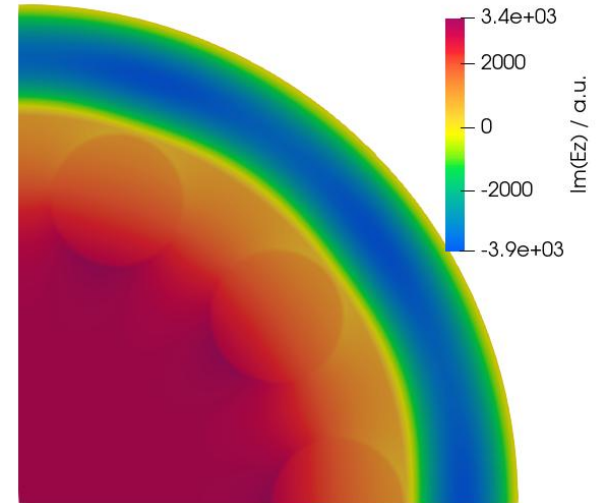


Waveguide modes

- Dispersion curves

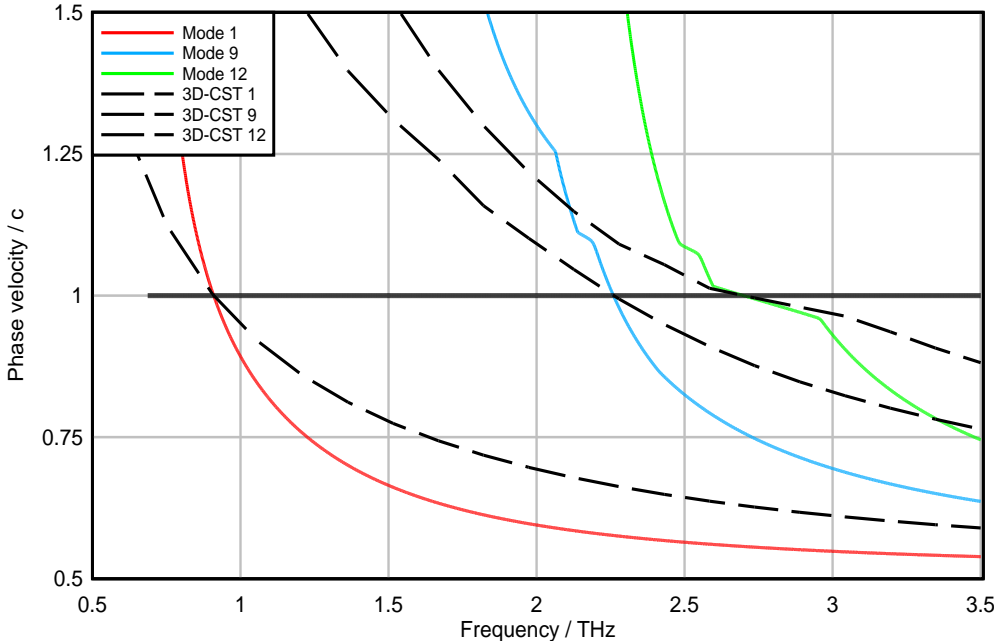


Mode #12 @2.699 THz

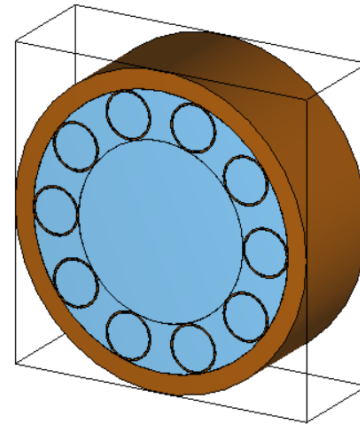


Waveguide modes

- Comparison with CST



3D-CST eigenmode solver with periodic BC



Synchronous mode frequencies / THz

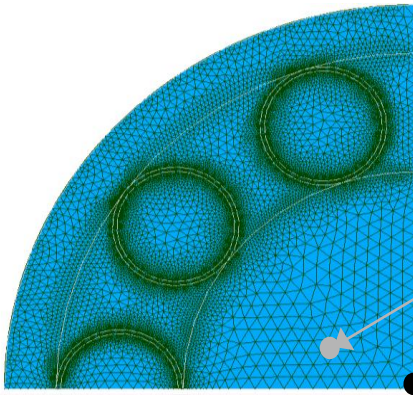
| # | 2D | 3D-CST |
|----|-------|--------|
| 1 | 0.909 | 0.909 |
| 9 | 2.260 | 2.261 |
| 12 | 2.699 | 2.70 |

The beam impedance problem

- Equations

$$\begin{pmatrix} \frac{1}{k_z^2} \nabla_t \times \frac{1}{\mu_r} \nabla_t \times -\frac{k_0^2}{k_z^2} \varepsilon_r + \frac{1}{\mu_r} & -\frac{1}{\mu_r} \nabla_t \\ \nabla_t \frac{1}{\mu_r} \cdot & -\nabla_t \frac{1}{\mu_r} \nabla_t - k_0^2 \varepsilon_r \end{pmatrix} \begin{pmatrix} \mathbf{E}'_t \\ E'_z \end{pmatrix} = \begin{pmatrix} 0 \\ k_0 Z_0 J_z \end{pmatrix}$$

$$k_z = \frac{\omega}{c \beta_z}$$



Using the same matrix operators as before

Single or multiple source/test node excitation:

$$J_z(r, \omega) = q_0 \delta(r - r_0)$$

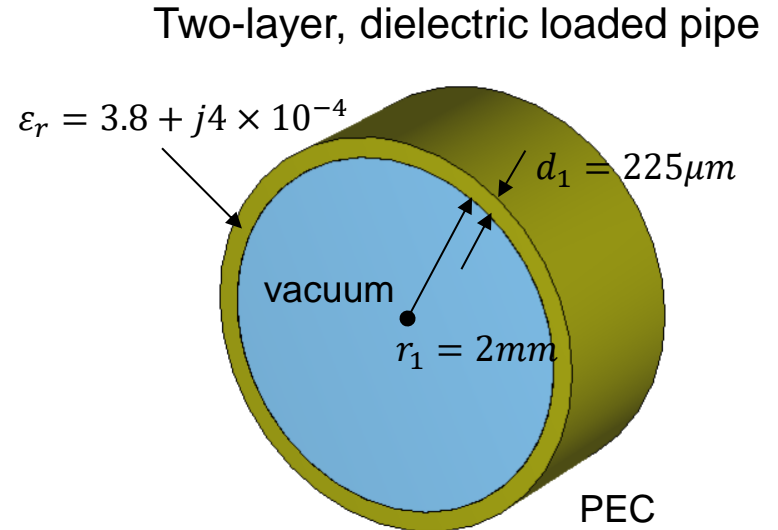
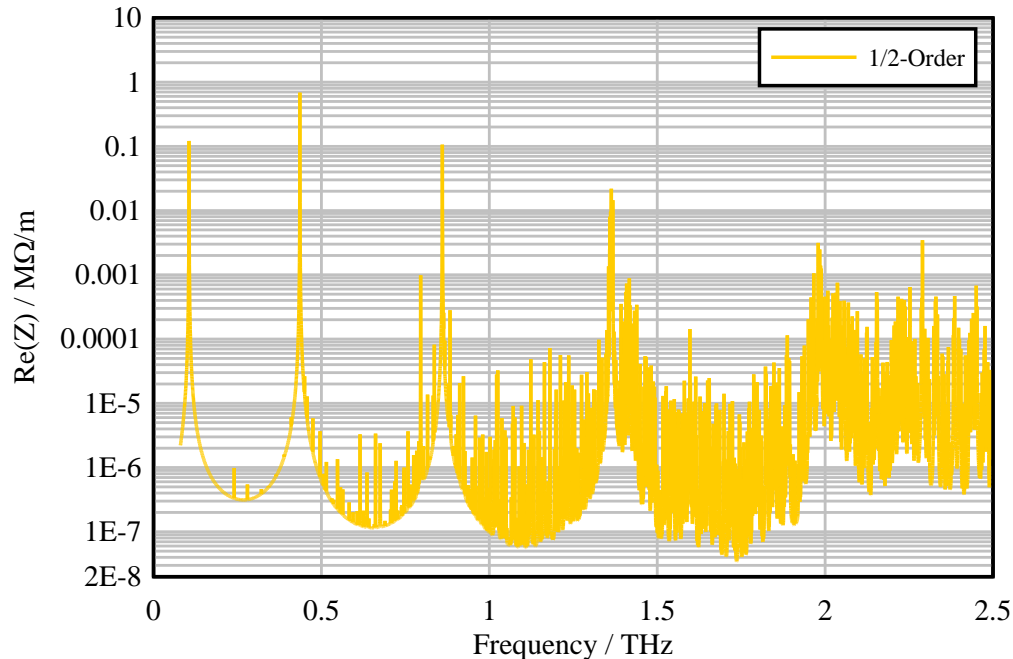
Similar (but not identical) formulation in:

B. Doliva, et al., PAC 2005

U. Niedermayer, et al., PR-STAB, 2015

The beam impedance problem

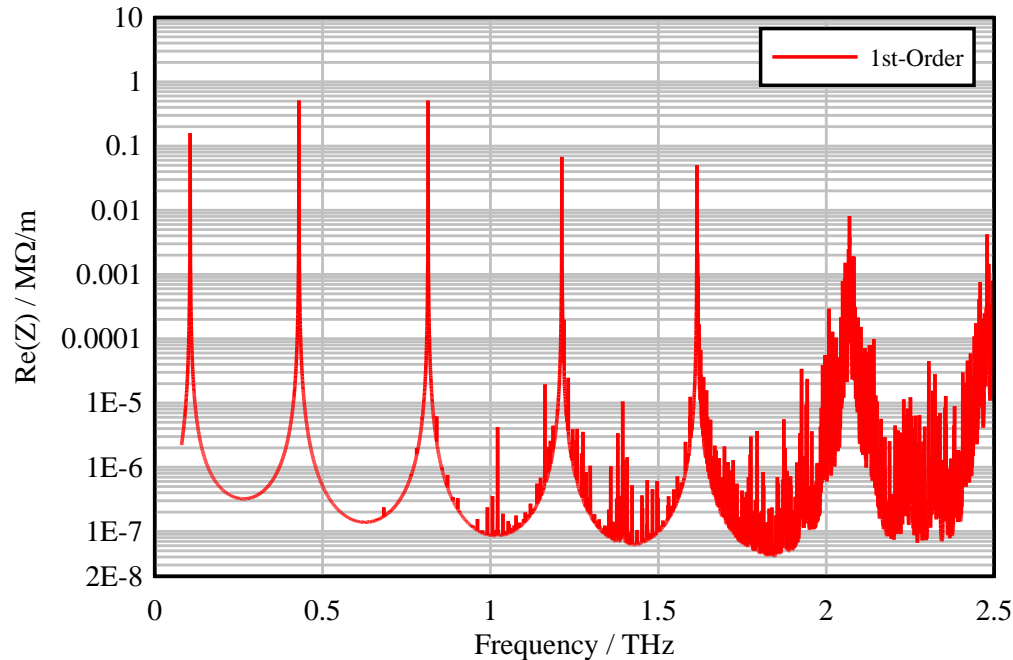
- Validation



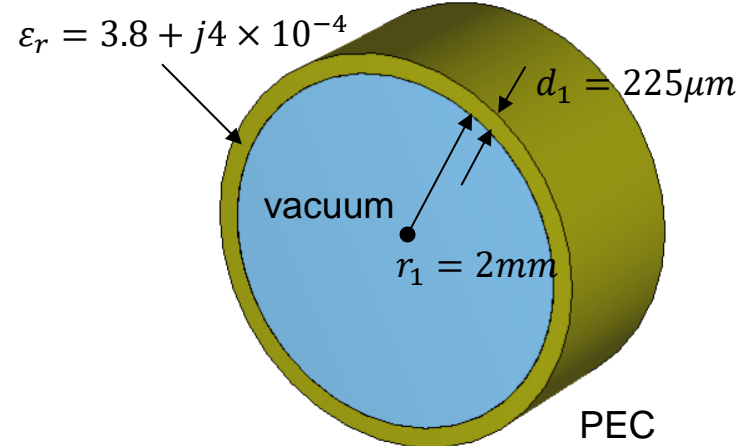
K. Floettmann, et al., J. Synch. Rad., 2020
Ivanyan et al., PR-STAB, 2008

The beam impedance problem

- Validation



Two-layer, dielectric loaded pipe

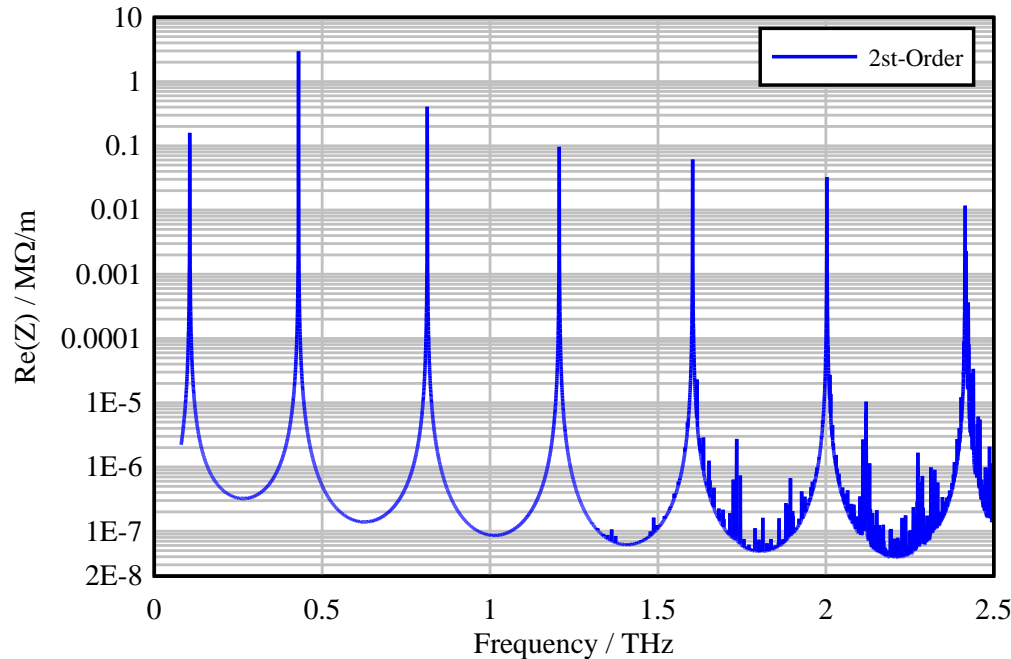


K. Floettmann, et al., J. Synch. Rad., 2020

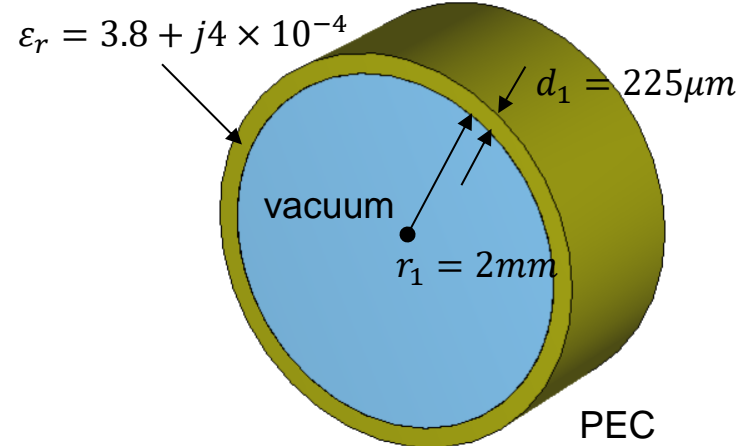
Ivanyan et al., PR-STAB, 2008

The beam impedance problem

- Validation



Two-layer, dielectric loaded pipe

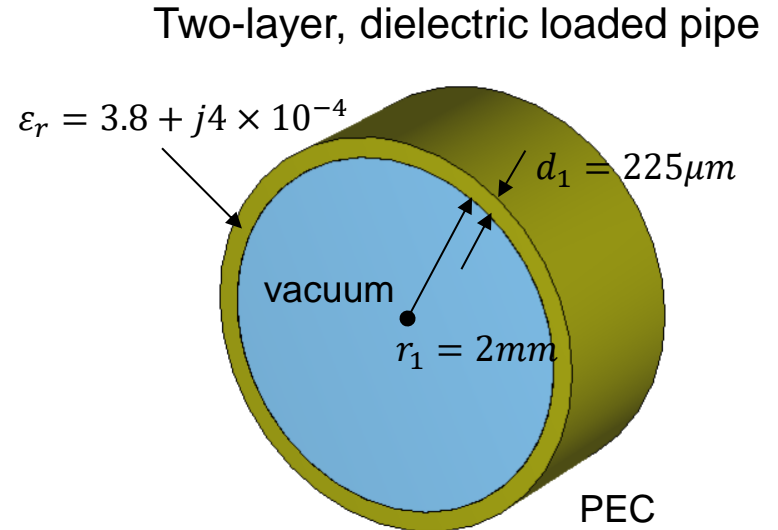
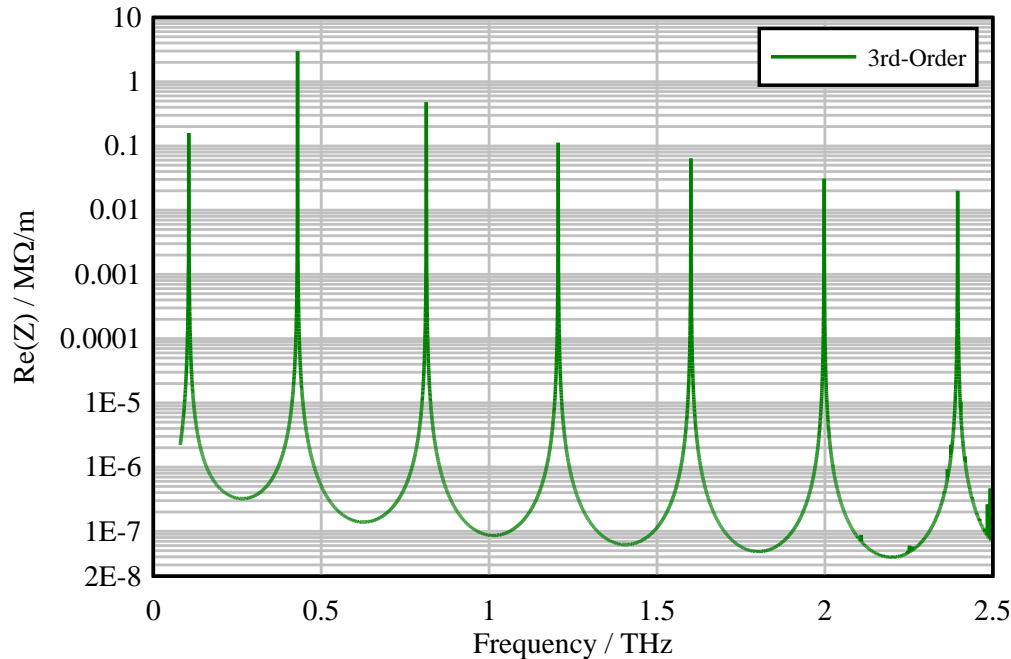


K. Floettmann, et al., J. Synch. Rad., 2020

Ivanyan et al., PR-STAB, 2008

The beam impedance problem

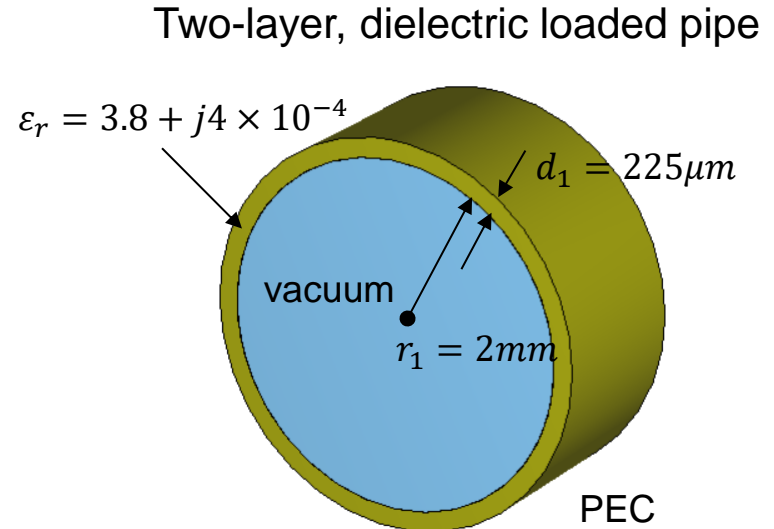
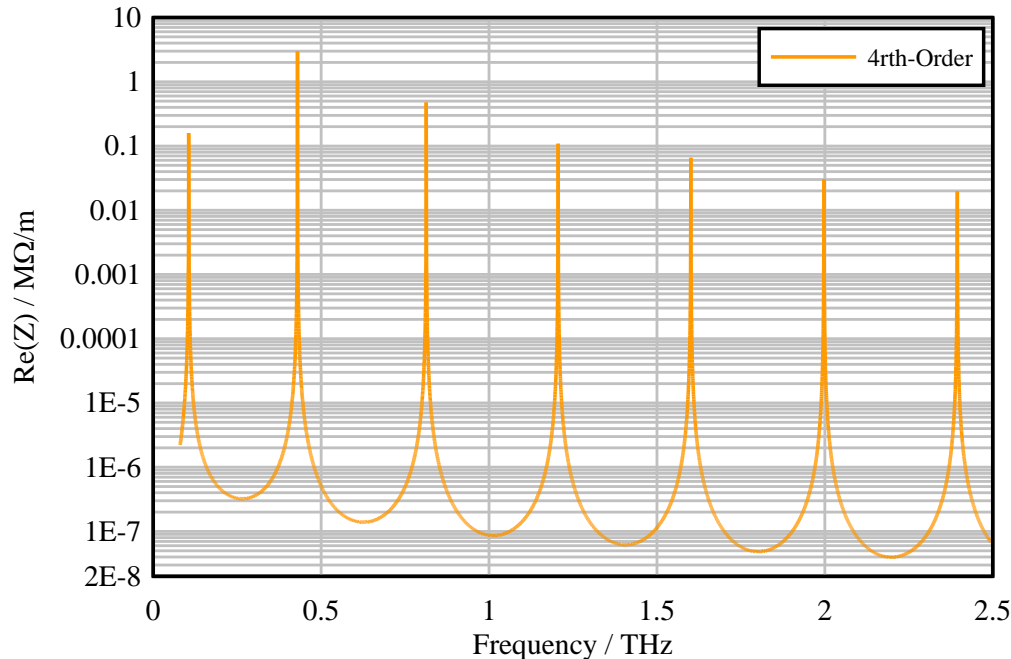
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K. Floettmann, et al., J. Synch. Rad., 2020
Ivanyan et al., PR-STAB, 2008

The beam impedance problem

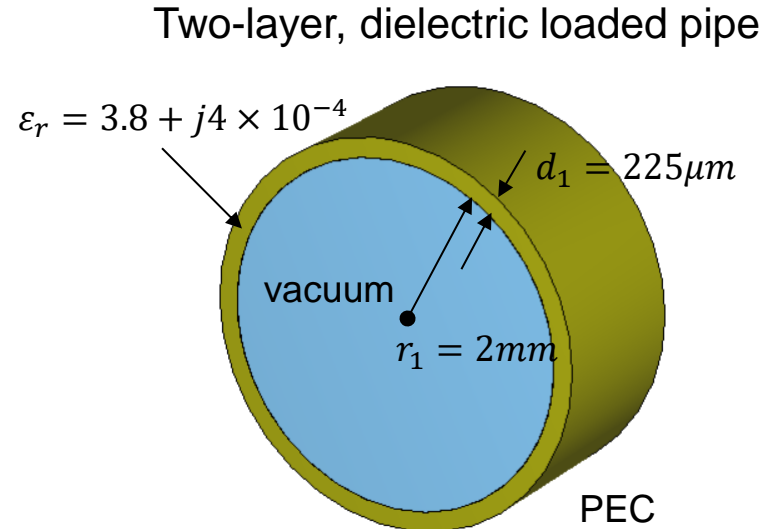
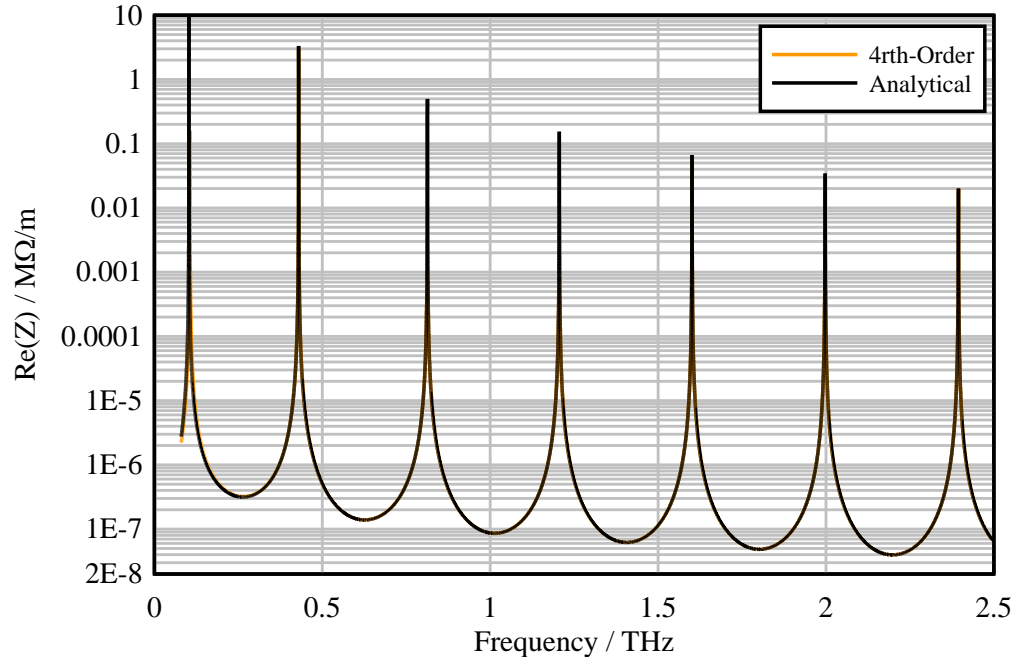
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K. Floettmann, et al., J. Synch. Rad., 2020
Ivanyan et al., PR-STAB, 2008

The beam impedance problem

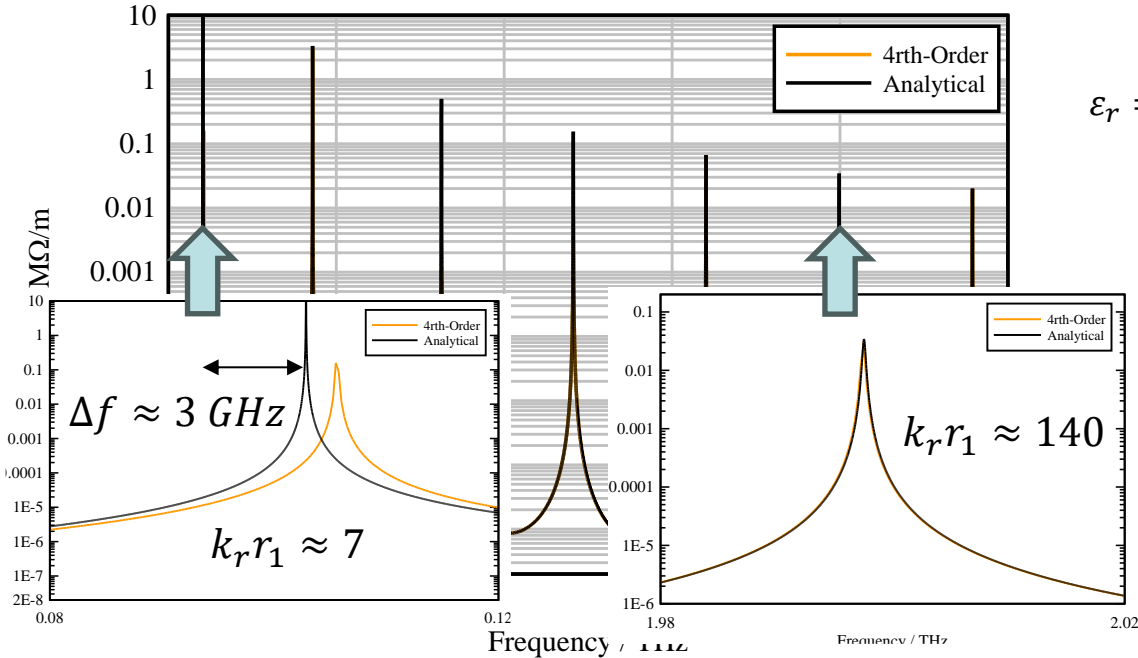
- Validation



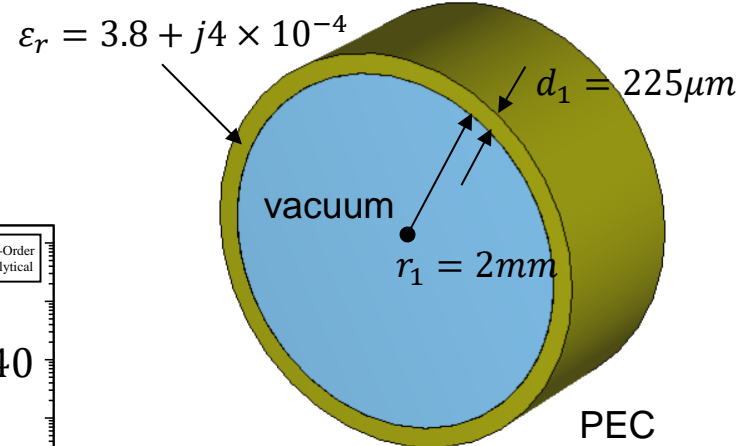
K. Floettmann, et al., J. Synch. Rad., 2020
Ivanyan et al., PR-STAB, 2008

The beam impedance problem

- Validation



Two-layer, dielectric loaded pipe

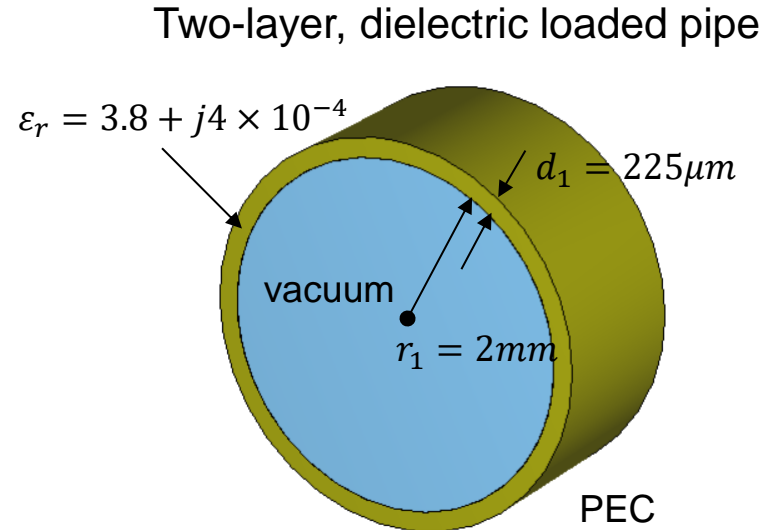
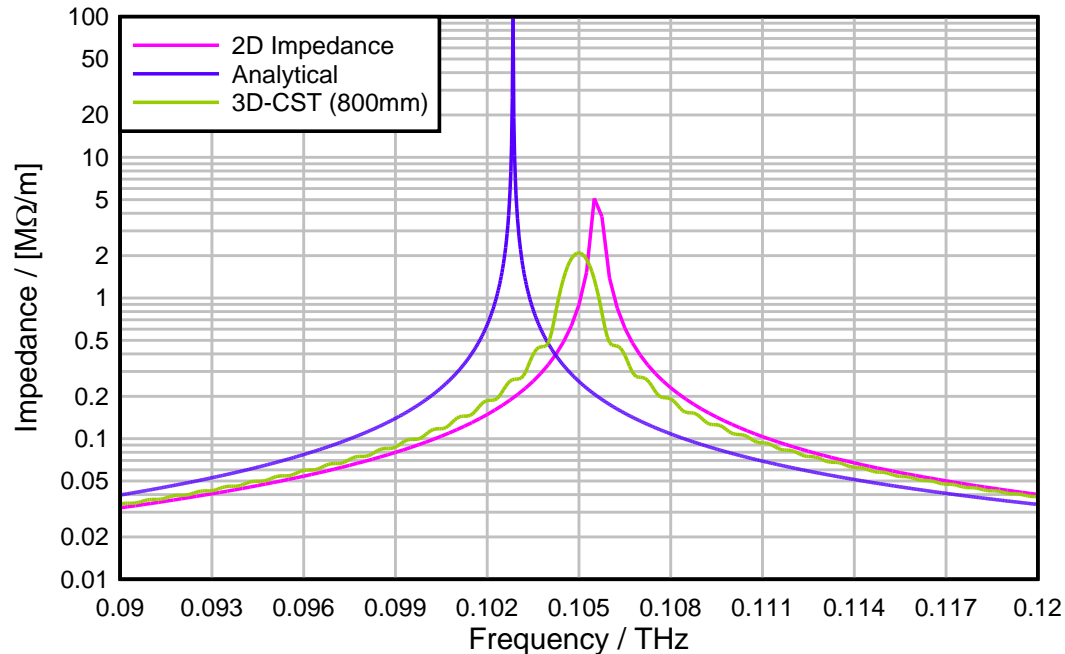


K. Floettmann, et al., J. Synch. Rad., 2020

Ivanyan et al., PR-STAB, 2008

The beam impedance problem

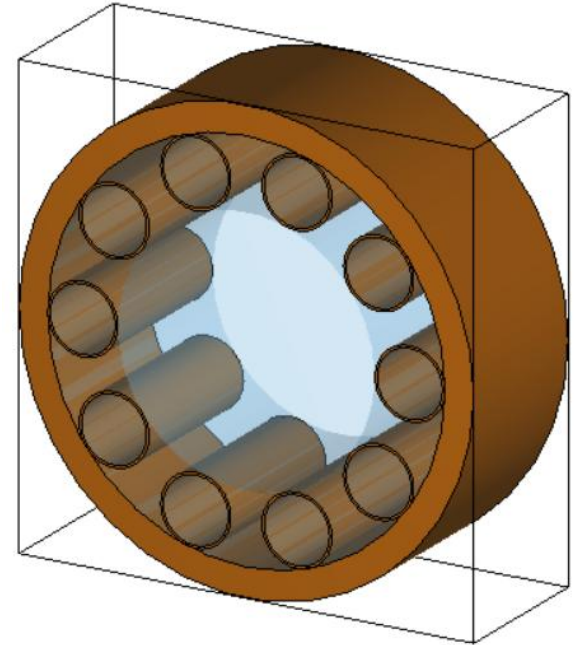
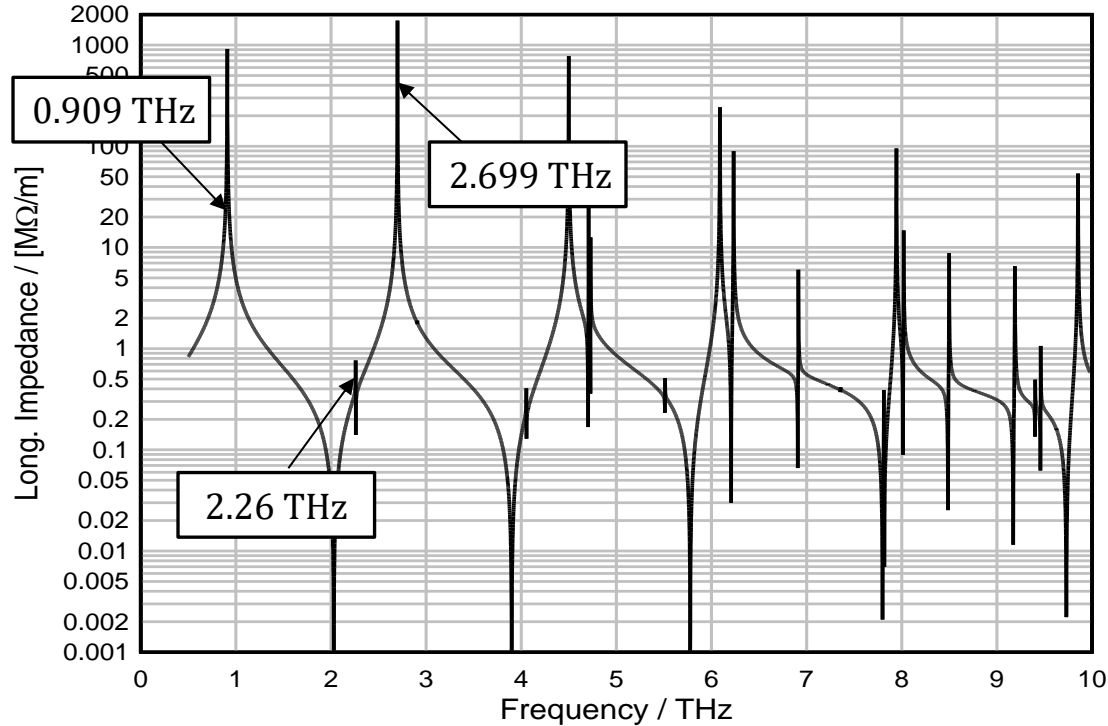
- Comparison with CST (ground mode)



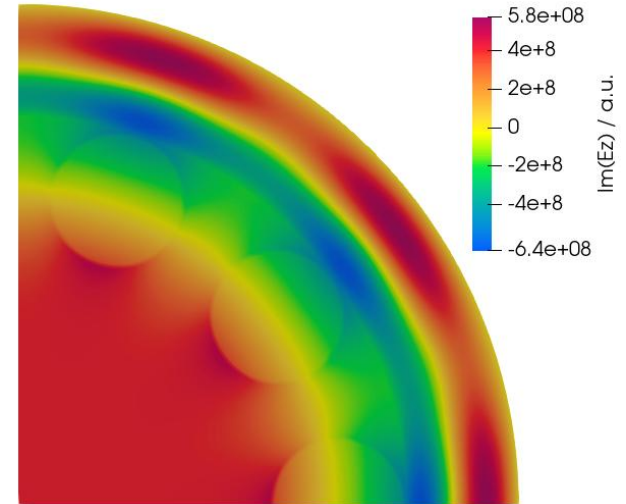
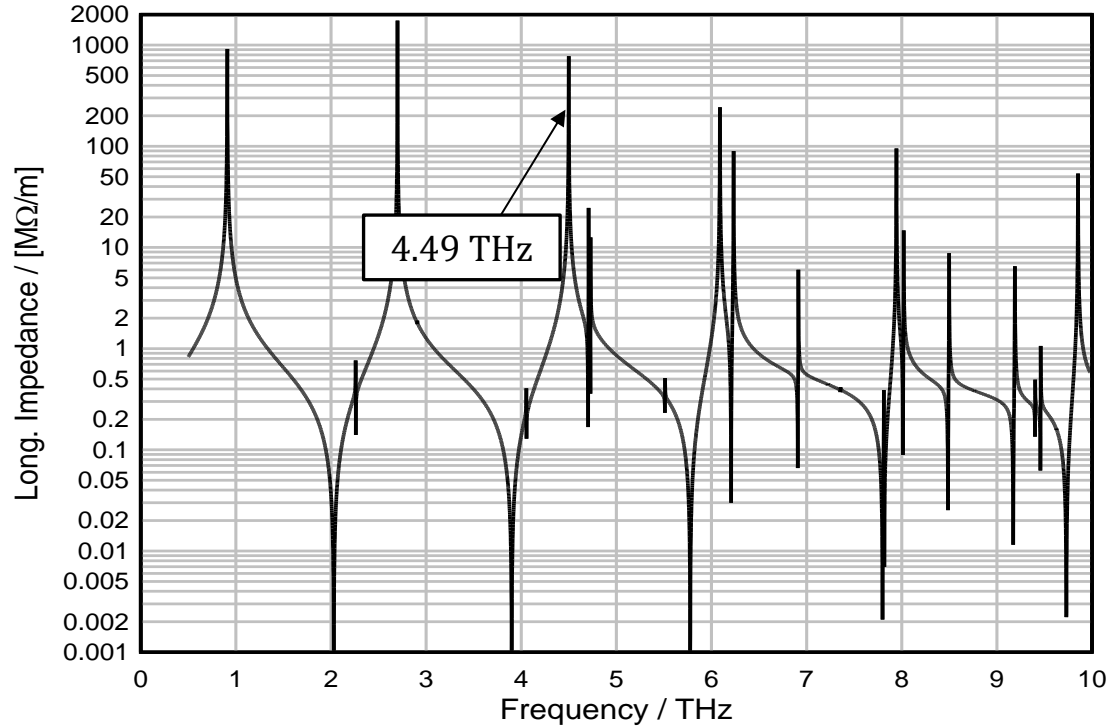
K. Floettmann, et al., J. Synch. Rad., 2020

Ivanyan et al., PR-STAB, 2008

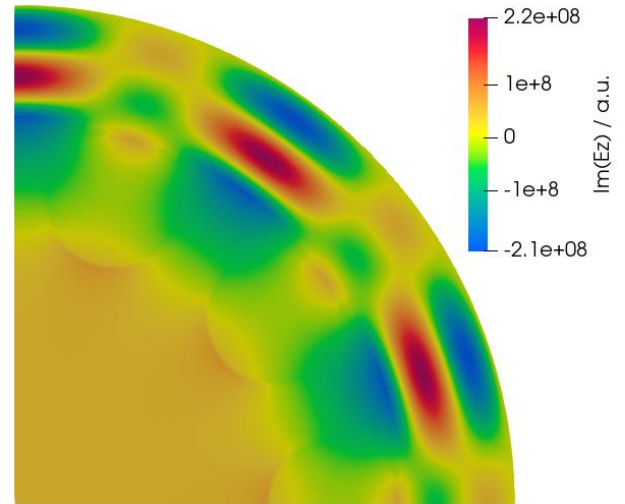
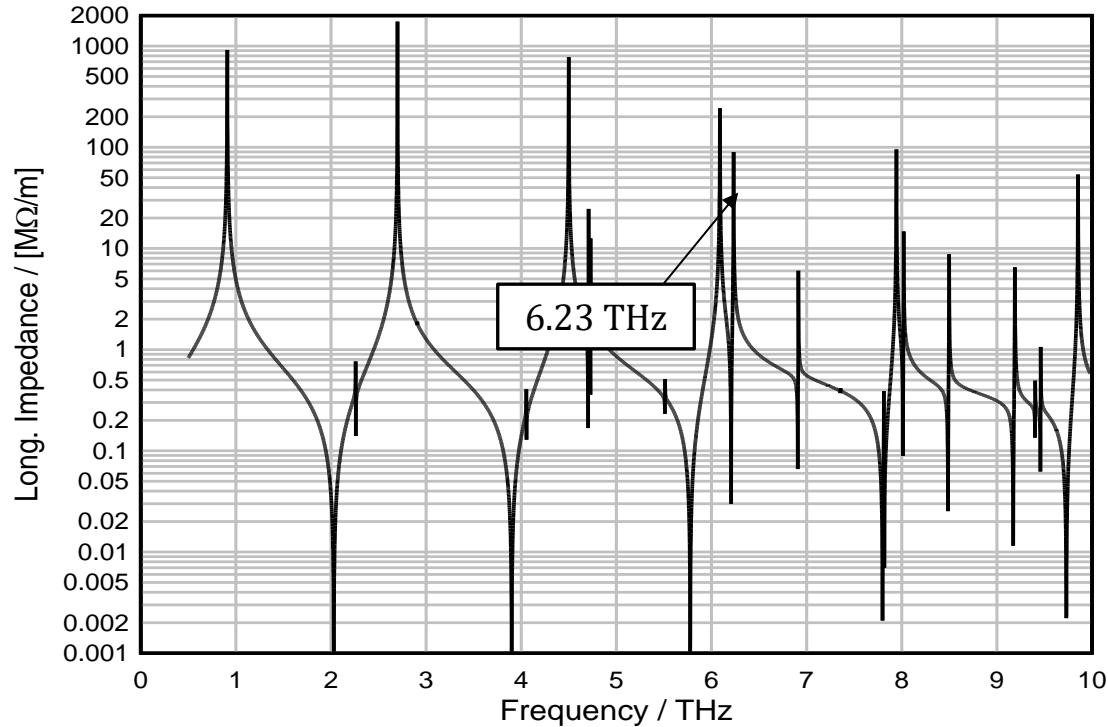
ARF impedance



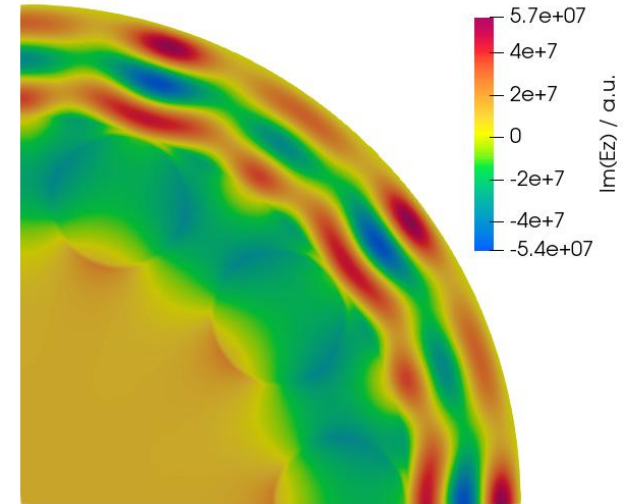
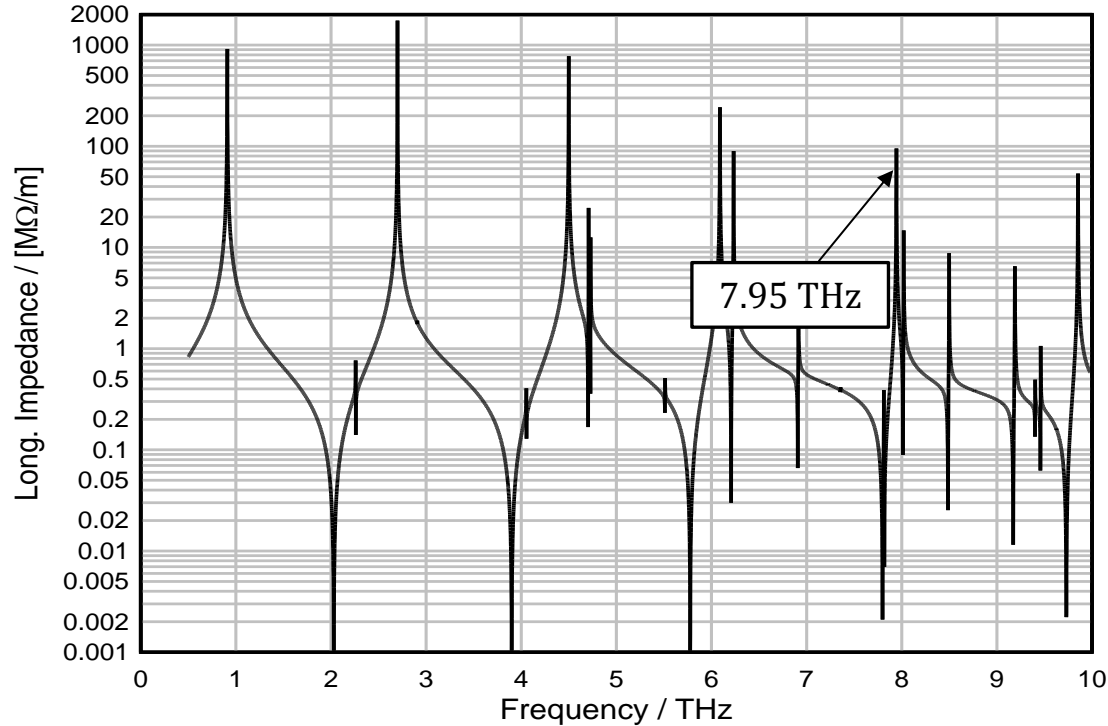
ARF impedance



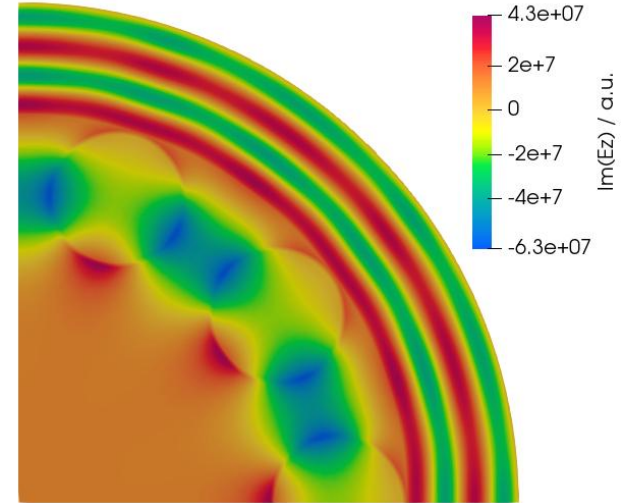
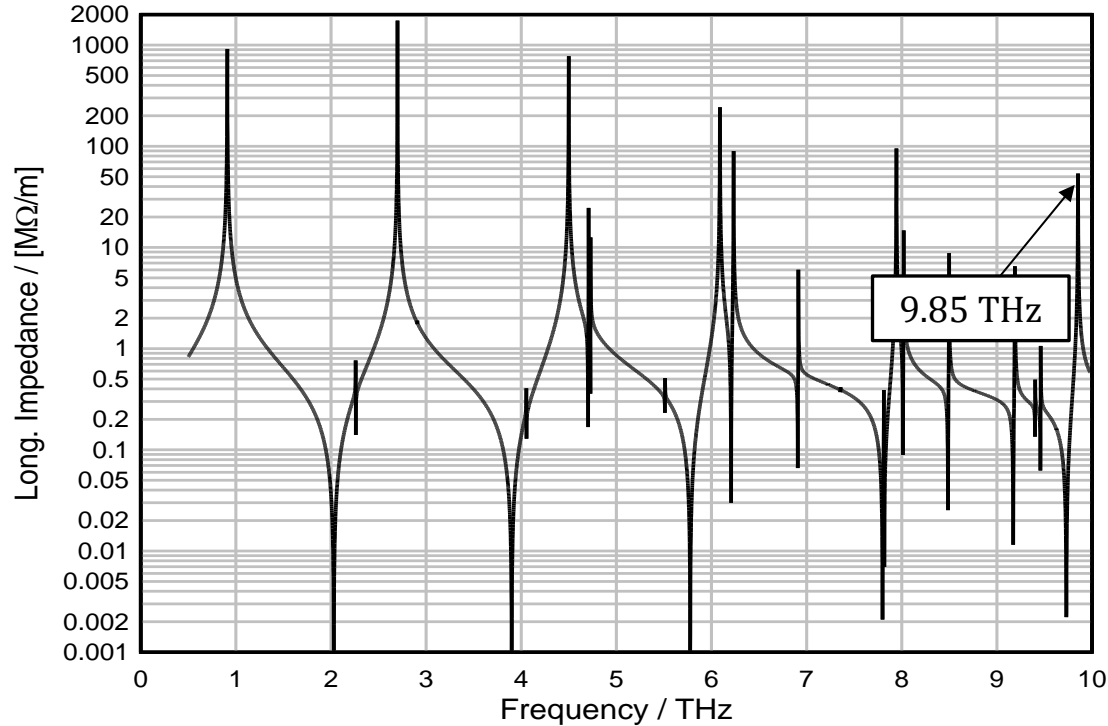
ARF impedance



ARF impedance



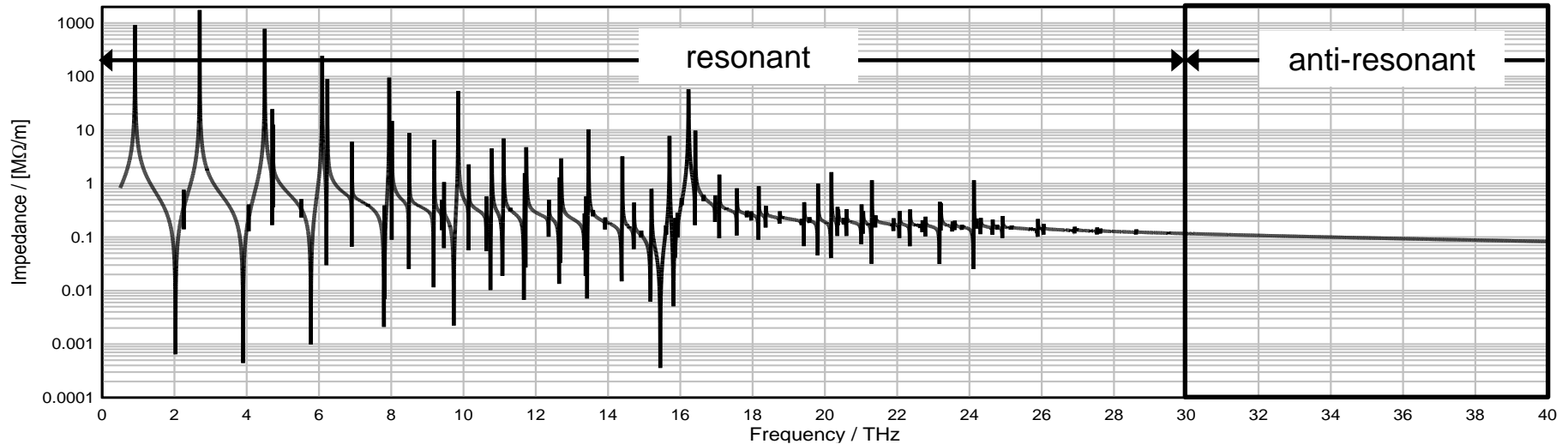
ARF impedance



ARF impedance

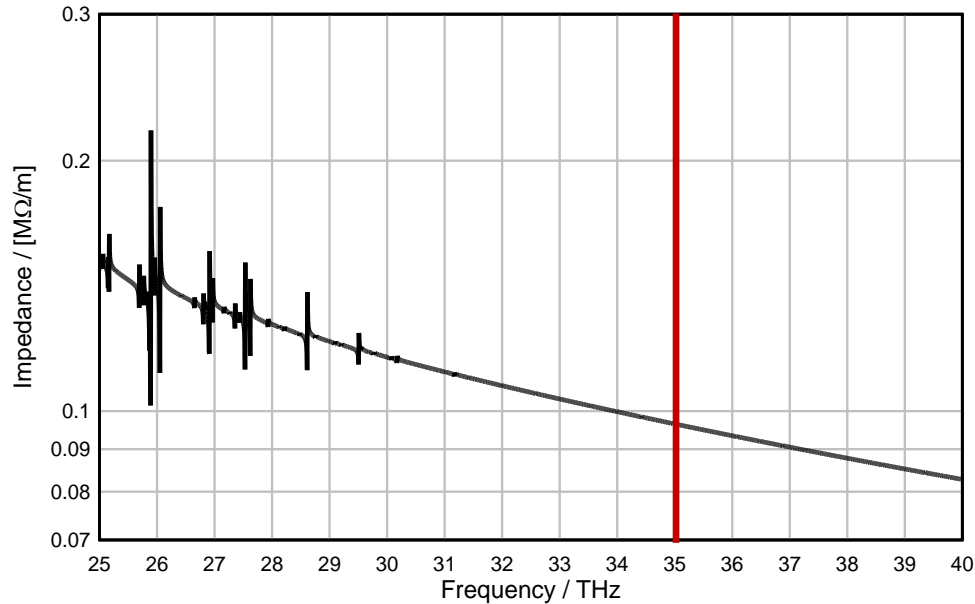
- (Anti-) resonance condition

$$\lambda_{antires} = \frac{4t}{2m+1} \sqrt{n_c^2 - 1} \rightarrow f_{antires} \approx 0.45 \cdot 10^8 \frac{2m+1}{t} \text{ [Hz]}, \quad \rightarrow f_{antires}(0,1) = \{30,90\} \text{ THz}$$

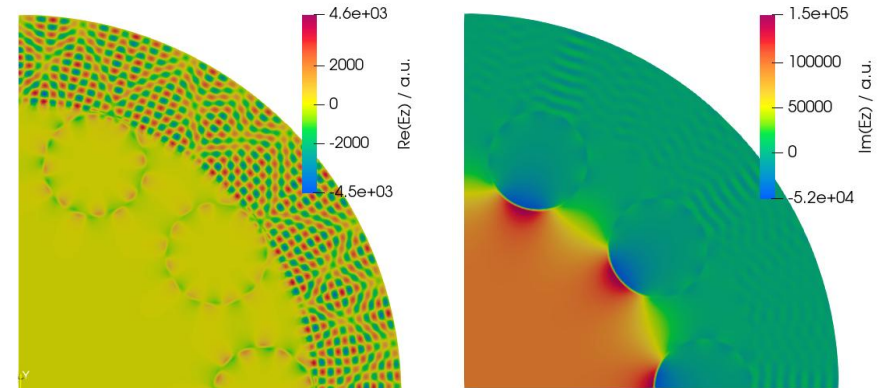


ARF impedance

- (Anti-) resonance condition

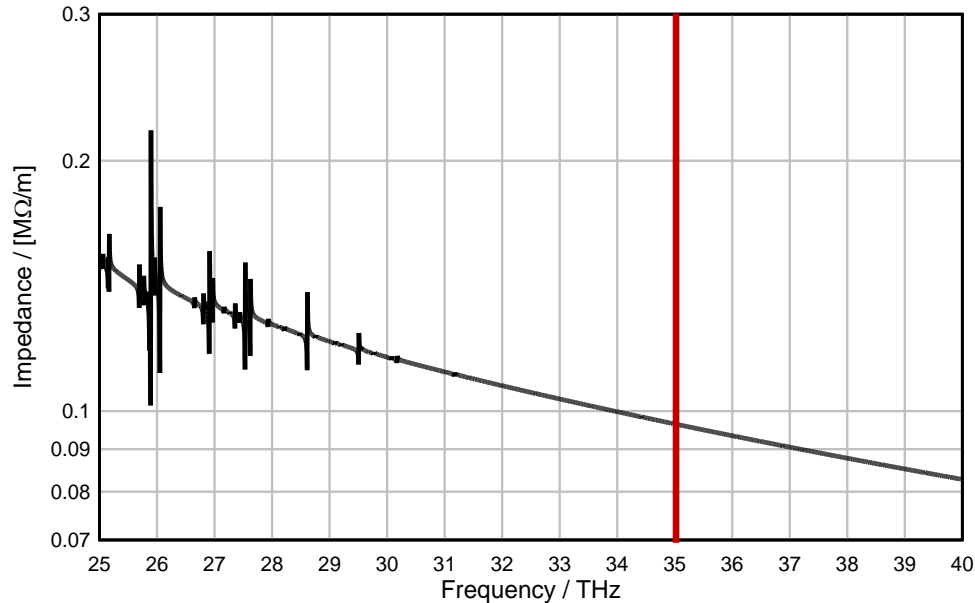


Longitudinal field pattern at 35 THz

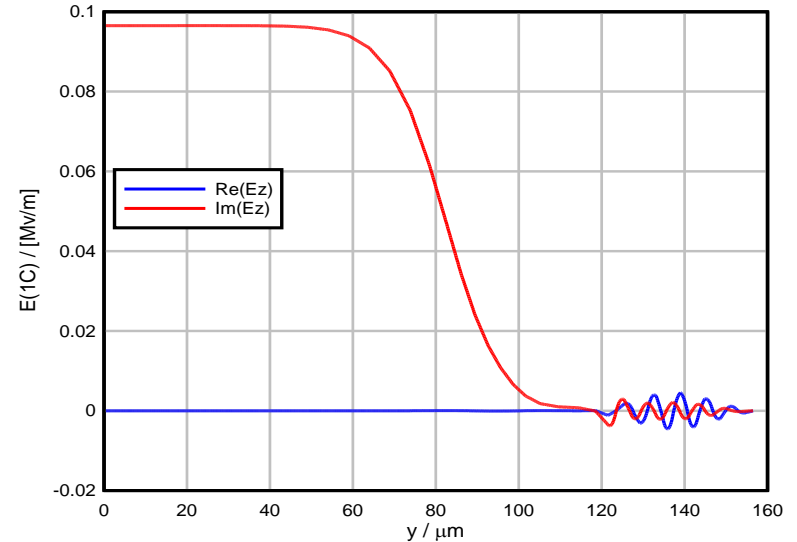


ARF impedance

- (Anti-) resonance condition



Longitudinal field pattern at 35 THz

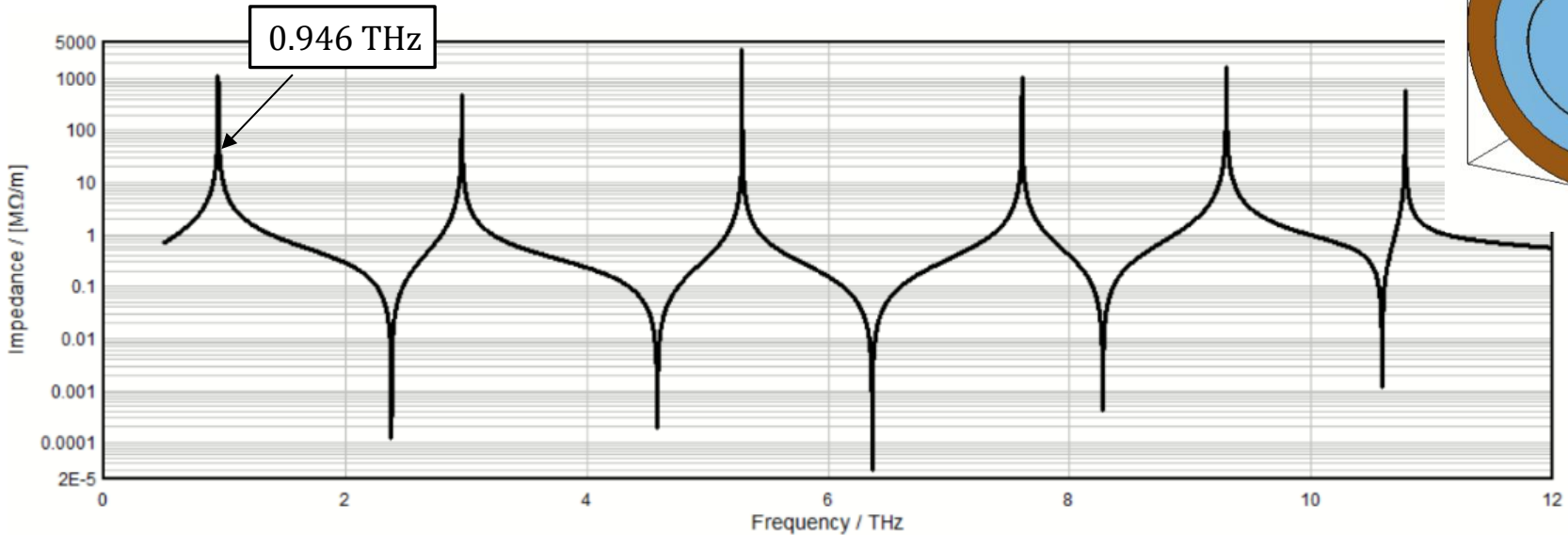
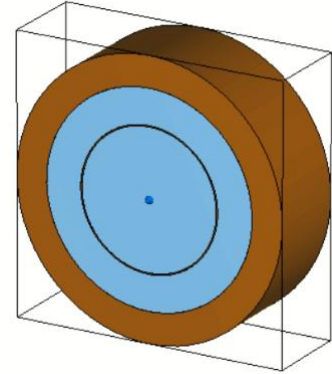


Summary & Conclusions

- 2D-simulation code for the computation of dielectric waveguides
 - High-order conforming FEM on curved elements for accurate geometry approximation
 - **Analysis of hybrid waveguide modes**
 - **Computation of the beam coupling impedance**
 - Validation shows excellent agreement with analytical solutions and 3D-CST
- Simulation of ARFs for beam driven THz-source
 - Multiple impedance resonances in the ARFs resonant frequency range, but no obvious “field confinement” effect
 - Field confinement in the core under anti-resonant conditions, however, very weak coupling to the beam
 - We still need to consider the effect of frequency dependent dielectric losses, finite length of the fibers and, eventually, longitudinally periodic ARFs

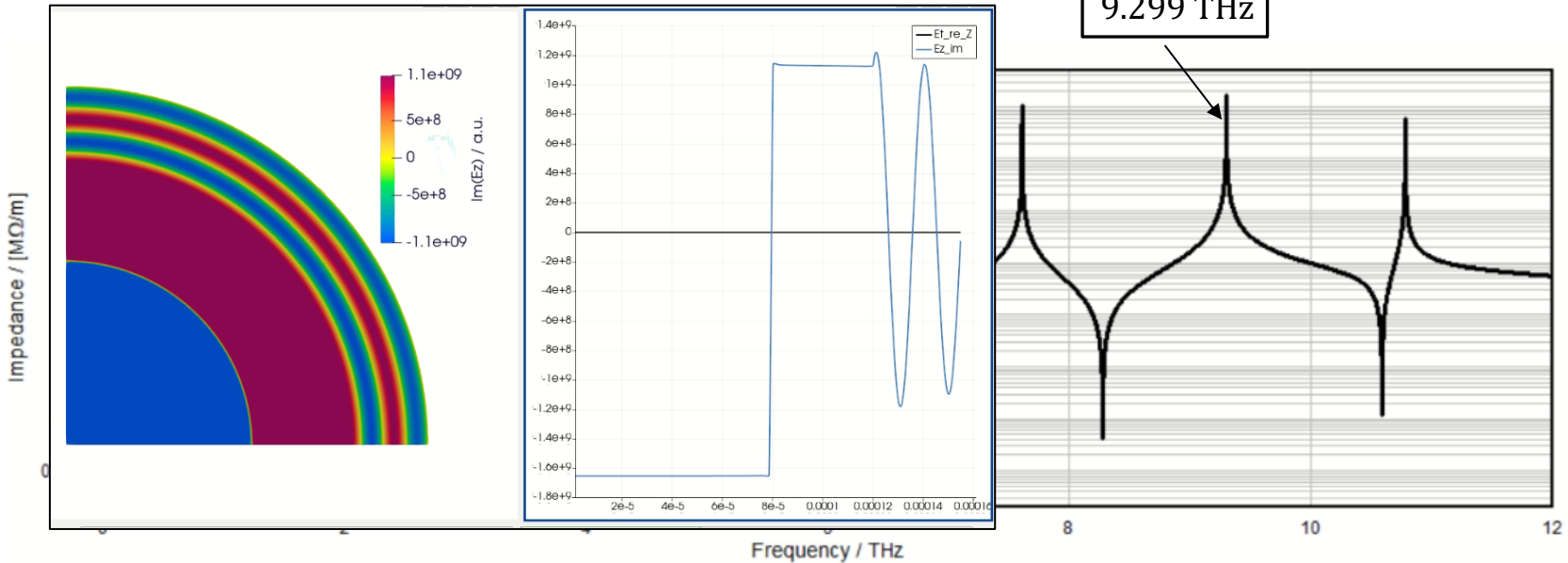
ARF impedance

- Perfectly round fiber with thin inner layer



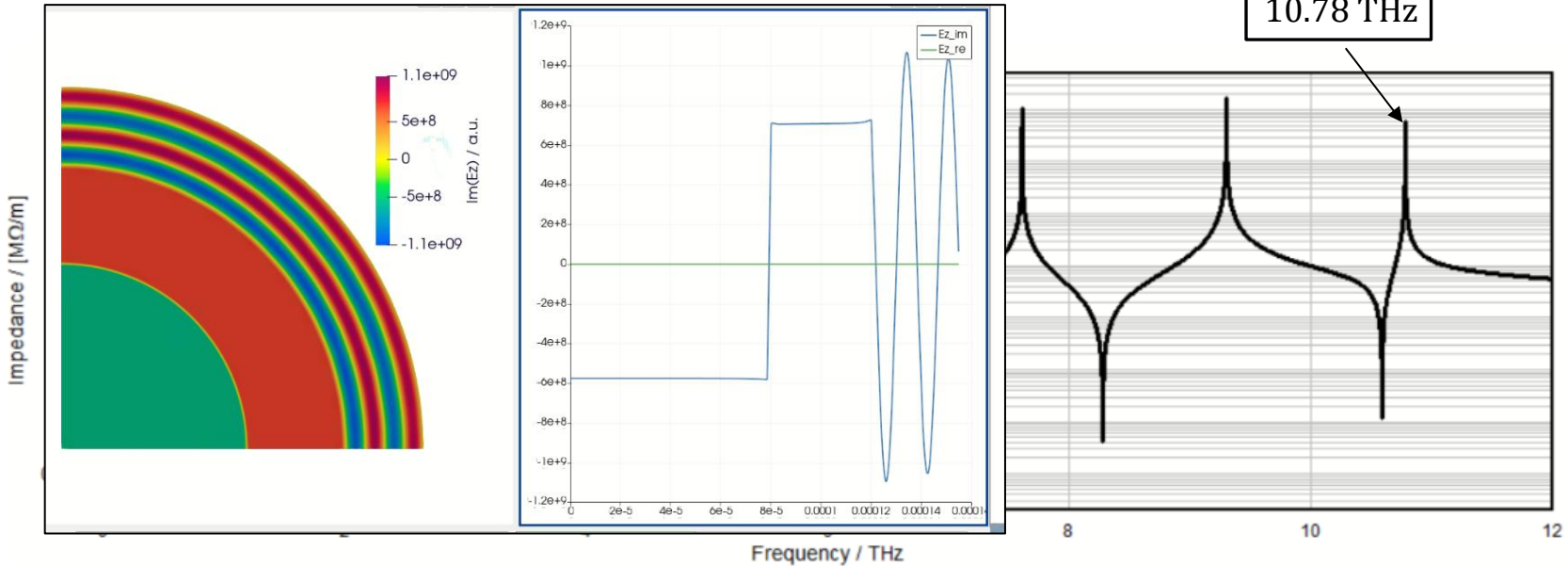
ARF impedance

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ARF impedance

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ARF impedance

- Perfectly round fiber with thin inner layer

